

**SUBSCRIPTION FORM**

**MAJEDIE ASSET MANAGEMENT (INTERNATIONAL) INVESTMENT FUND COMPANY PLC**  
an umbrella fund with segregated liability between sub-funds  
**(THE "COMPANY")**  
**Majedie Asset Management UK Equity Fund**  
**(The "Fund")**

This form is duly completed and should be sent to:

**Majedie Asset Management (International)**  
**Investment Fund Company PLC**  
C/O Transfer Agency BNY Mellon  
Wexford Business Park,  
Rochestown,  
Drinagh,  
Wexford  
Ireland

Telephone + 353 21 438 0915  
Fax + 353 21 438 0930  
Email: [MajedieIF@bnymellon.com](mailto:MajedieIF@bnymellon.com)

Completed Subscription Forms and appropriate client identification documentation as disclosed further in this application form should be faxed (if possible) to + 353 1 790 4097 by 12 noon Irish time on the relevant Dealing Day with the originals, where required, to follow promptly by mail. The Fund's valuation point is close of business on every Business Day.

**DECLARATIONS**

*Please delete/circle as applicable*

**I/We** have completed the relevant tax-residency Self-Certification Form (attached).

**I/We** hereby confirm that **I/we** have received and read the Key Investor Information Document (KIID) applicable to the Fund and/or relevant Share Class(es) in good time and before making an application to invest.

**I/We** understand that the Prospectus and KIIDs may be provided by the following mediums and consent to the provision of that information (now and/or in the future) by way of either one of (check one only):

- **Electronic Delivery:** *includes email delivery to the email address set out on page 11 or 12 (as applicable) of this application form or as otherwise agreed with the Administrator*
- **Website** [www.majedie.com](http://www.majedie.com)
- **Paper copy** *free of charge on request*

**I/We** acknowledge that **I/we** have received and read the Data Protection Notice set out in the Appendix hereto.

**Please note that application forms without the above declarations completed will be rejected. By signing the signature block at the close of this document you are confirming the above.**

We the undersigned, having received and read a copy of the latest Prospectus of the Company and the Supplement of the Fund (together the Prospectus), hereby apply to invest in the Company as indicated below (delete as appropriate):

<b>Share Class</b>	<b>Amount</b>	<b>Amount in Words</b>
Class Z Accumulation		
Shares	GBP .....	.....

Class Z Income

Shares

GBP ..... ..

## Entity Self-Certification for FATCA and CRS

### Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at:

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link:

<http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

*If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.*

*Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.*

*(Mandatory fields are marked with an \*)*

**Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".**

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### Section 1: Investor Identification

**Investor Name\*:** \_\_\_\_\_ (the "Entity")

**Country of Incorporation or Organisation:** \_\_\_\_\_

**Current Registered Address\*:**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Mailing address (if different from above):**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

### Section 2: FATCA Declaration Specified U.S. Person\*:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows (please also complete Sections 4 and 5):

**U.S. TIN:** \_\_\_\_\_

**OR**

b) The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

**OR**

c) The Entity is a US Person but **not** a *Specified U.S. Person* (please also complete Sections 4 and 5)

**Section 3: Entity's FATCA Classification\* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):**

**3.1 Financial Institutions under FATCA :**

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	<i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i>	
II.	<i>Registered Deemed Compliant Foreign Financial Institution</i>	
III.	<i>Participating Foreign Financial Institution</i>	

**3.2** Please provide the Entity's *Global Intermediary Identification number (GIIN)* \_\_\_\_\_

**3.3** If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i> : _____	
II.	<i>Exempt Beneficial Owner</i>	
III.	<i>Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)</i>	
IV.	<i>Non-Participating Foreign Financial Institution</i>	
V.	<i>Excepted Foreign Financial Institution</i>	

**3.4 Non-Financial Institutions under FATCA:**

If the Entity is not a *Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Foreign Entity</i>	
II.	<i>Passive Non-Financial Foreign Entity</i> <i>(If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)</i>	
III.	<i>Excepted Non-Financial Foreign Entity</i>	

**Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)\***

Please indicate the Entity's country of tax residence for CRS purposes (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")).

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a jurisdiction that does not issue a TIN.

If the Entity is not tax resident in any jurisdiction (e.g. because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

**Section 5: Entity's CRS Classification\*(the information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):**

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

**5.1 Financial Institutions under CRS:**

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	
II.	An <i>Investment Entity</i> located in a <i>Non-Participating Jurisdiction</i> and managed by another <i>Financial Institution</i> (If this box is ticked, please include the name of any Controlling Person(s) of the Entity and complete separate individual self-certification forms for each of your Controlling Persons**) )	

**5.2 Non Financial Institutions under CRS:**

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank	
III.	<i>Active Non-Financial Entity</i> – an International Organisation	
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete separate individual self-certification forms for each of your Controlling Persons**) )	

**\*\* Controlling Persons:**

**NB: Please note that each Controlling Person must complete a separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.**

*For further information on identification requirements under CRS for Controlling Persons, see the Commentary to Section VII of the CRS Standard*

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

**Section 6: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised Signature(s)\*:**

\_\_\_\_\_

**Print Name(s)\*:**

\_\_\_\_\_

**Capacity in which declaration is made\*:**

\_\_\_\_\_

**Date: (dd/mm/yyyy):\*** \_\_\_\_\_

\_\_\_\_\_

## Individual (Controlling Persons) Self-Certification for FATCA and CRS

### Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at: <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

*If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.*

*Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.*

**Section 1, 2, 3 and 5 must be completed by all investors**

**Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity.** For further guidance see:

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an \*)

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### Section 1: Investor Identification

Investor Name\*: \_\_\_\_\_

Current Residential Address\*:

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Mailing address (if different from above):**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Place and Date Of Birth\***

Town or City of Birth\*: \_\_\_\_\_ Country of Birth\*: \_\_\_\_\_

Date of Birth\*: \_\_\_\_\_

**Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes\*:**

Please tick either (a) or (b) and complete as appropriate.

(a)  I confirm that [I am] / [the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my] / [its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

\_\_\_\_\_

**OR**

(b)  I confirm that [I am not] / [the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

**Section 3: CRS Declaration of Tax Residency (please note you may choose more than one country)\***

Please indicate your/the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers (“TINs”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a jurisdiction that does not issue a TIN.

**Section 4 – Type of Controlling Person**

(ONLY to be completed by an individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For Joint or multiple Controlling Persons please use a separate Self-Certification form for each Controlling Person

Please Confirm the type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		



**Section 5: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature\*: \_\_\_\_\_

Print Name\*: \_\_\_\_\_

Date: (dd/mm/yyyy)\*: \_\_\_\_\_

Capacity\*: \_\_\_\_\_

\_\_\_\_\_

**GBP Cash account**

Correspondent Bank	The Bank of New York, London
Correspondent Swift	IRVTGB2X
Correspondent Sort Code	70-02-25
Beneficiary Bank	The Bank of New York, Brussels
Beneficiary Swift	IRVTBEBB
Beneficiary Account number	4463568260
Beneficiary Account IBAN	BE09515446356057
Beneficiary Account Name	Majedie AMI Subs and Reds Account

**CHAPS payment should be formatted as follows:****GBP**

Swift address	:	IRVTGB2X
Name	:	The Bank of New York London
Sort code	:	70-02-25
Account Number	:	1538268260 OR VIA CHAPS 00153826
Name	:	The Bank of New York, Brussels
For Further Credit	:	44635682560
FFC Name	:	Majedie AMI Subs and Reds Account

**Source of funds, return payments and Income Distributions**

Please provide below full banking details for the account from which the appropriate subscription settlement is to be received. Settlement can only be accepted by telegraphic transfer from an account in the applicant’s own name, and a detailed written explanation is required for approval if this is not the case. These account details will be maintained on file as the redemption account of record, and future payments back to the applicant(s) will be returned to this account unless a written request for change has been received and approved. Interest is not earned on early subscription settlement. **The Directors reserve the right to charge interest at a reasonable commercial rate on subscriptions which are settled late.**

This account will also be used for payment of income distributions.

**Please pay income distributions to the account detailed below:**

Intermediary Bank Name:		Swift/ABA:	
Beneficiary Bank Name:		Swift/ABA:	
Street Address:			
City:		State/Province:	
Postal Code:		Country:	
Beneficiary Account Name:			
Beneficiary Account Number:			
IBAN Number:			
For Further Credit Account Name:			
For Further Credit Account Number:			
Currency:		Reference:	

The applicant acknowledges and agrees that subscription monies received in respect of a Fund in advance of the issue of Shares will be held either in an Umbrella Cash Account in the name of the Company or, in an individual cash account in the name of a Fund. The applicant further acknowledges and agrees that in each scenario, subscription monies will be treated as a general asset of the relevant Fund. The applicant further acknowledges and agrees that investors will be unsecured creditors of the relevant Fund with respect to the amount subscribed and held by the Company until Shares are issued on the relevant Dealing Day and as such, investors will not benefit from any appreciation in the NAV of the relevant Fund or any other Shareholder rights (including dividend entitlement) until such time as Shares are issued on the relevant Dealing Day. The applicant further acknowledges and agrees that in the event of an insolvency of the Fund or the Company, there is no guarantee that the Fund or Company will have sufficient funds to pay unsecured creditors in full.

The applicant acknowledges and agree that payment of redemption proceeds and dividends in respect of a particular Fund is subject to receipt by the Administrator of original subscription documents and compliance with all anti-money laundering procedures and that notwithstanding this, redeeming Shareholders will cease to be Shareholders, with regard to the redeemed Shares, and will be unsecured creditors of the particular Fund, from the relevant Dealing Day. The applicant further acknowledges and agrees that pending redemptions and distributions, including blocked redemptions or distributions, will, pending payment to the relevant Shareholder, be held either in an Umbrella Cash Account in the name of the Company or, in an individual cash account in the name of a Fund. The applicant further acknowledges and agrees that in each scenario, redeeming Shareholders and Shareholders entitled to such distributions will be unsecured creditors of the relevant Fund, and will not benefit from any appreciation in the NAV of a Fund or any other Shareholder rights (including further dividend entitlement), with respect to the redemption or distribution amount held in the Umbrella Cash Account, or in an individual cash account. The applicant further acknowledges and agrees that in the event of an insolvency of the relevant Fund or the Company, there is no guarantee that the Fund or the Company will have sufficient funds to pay unsecured creditors in full. The applicant further acknowledges and agrees redeeming Shareholders and Shareholders entitled to distributions should ensure that any outstanding documentation and information is provided to the Administrator promptly and that failure to do so is at such Shareholder’s own risk.

The applicant acknowledges and agrees that Shares in the Company may not be issued until such time as the Administrator has received and is satisfied with all the information and documentation requested to verify the identity, permanent address and source of funds of the applicant and, where applicable, any beneficial owner. Where, at the sole discretion of the Administrator, Shares are issued prior to the Administrator having received all the information and documentation required to verify the identity, permanent address and source of funds of the applicant and, where applicable, any beneficial owner, the applicant will be prohibited from redeeming any Shares so issued, and the Company or the Administrator on its behalf reserves the right to refuse to make any redemption payment or distribution to the applicant, until such time as the Administrator has received and is satisfied with all the information and documentation requested to verify the identity, permanent address and source of funds of the applicant and, where applicable, any beneficial owner.

The applicant hereby acknowledges and agrees that the Company or the Administrator as its delegate may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a web site.

The applicant acknowledges that due to money laundering requirements operating within the Republic of Ireland the Administrator may require further identification of the applicant(s) and any beneficial owner before the application can be processed and the Administrator shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been requested by the Administrator and has not been provided by them.

The applicant acknowledges that they will provide any information and/or documentation as the Directors, the Investment Manager, the Distributor, the Administrator or their delegates or authorised agents deem necessary including in connection with the requirements of any authority whether regulatory or taxation or otherwise.

The applicant hereby agrees to be bound by the Prospectus. Words and expressions in this Subscription Form are as defined in the Prospectus unless the context otherwise requires.

In consideration of the Administrator processing this Subscription Form and making a provisional allotment of Shares, the applicant hereby agrees to indemnify and hold harmless the Company, the Administrator, the Directors and the Investment Manager against any loss, costs or expenses incurred by it or them as a result of their failure to pay the required subscription monies for the application of Shares within the time required by the Prime Broker.

The applicant confirms that they are 18 years of age or over (natural persons only).

The applicant confirms that they are not a restricted person (details of the entities to whom the transfer of Shares is restricted are set out in the Prospectus under the heading "Form of Shares and Transfer of Shares") and are not acquiring Shares on behalf of, nor for the benefit of, such a restricted person nor do they intend selling or transferring any Shares which they may purchase to any person who is such a restricted person.

The applicant confirms that they are aware of the minimum initial investment amount of the Fund, being £100,000 for all share classes.

The applicant warrants that: (a) they have the knowledge, expertise and experience in financial matters to evaluate the risks of investing in the Company; (b) they are aware of the risks inherent in investing in the Shares and the method by which the assets of the Company are held and/or traded; and (c) they can bear the risk of loss of their entire investment.

The applicant warrants that they are aware and accept that the Company has authority to redeem a portion of their investments in the Company and to pay the proceeds to the Investment Manager as part of any annual fee or performance fee (if any) payable in accordance with the provisions of this Prospectus.

The Company reserves the right to accept applications for Shares from certain qualified applicants in the United States or a limited number of U.S. persons (as defined under applicable laws) if the Company receives evidence satisfactory to it that the sale of Shares to such an applicant is exempt from registration under the securities laws of the United States, including, but not limited to, the 1933 Act, that such sale will not require the Company to register under the 1940 Act, and, in all events, that there will be no adverse tax or other regulatory consequences to the Company or its shareholders as a result of such sale. U.S. persons (as defined under applicable laws) should request a supplemental disclosure document and will be required to complete a set of subscription documents for U.S. persons appended thereto. Some subscribers may be taxable in the United States but will not come within the definition of U.S. persons for the purposes of determining which subscription documents should be used. Such persons are not obliged to complete the special subscription documents for U.S. persons and will not automatically receive the supplemental disclosure document.

The applicant warrants that they are able to acquire and hold Shares without violating any applicable laws.

The applicant, where acquiring Shares on behalf of, or for the benefit of, one or more other person, warrants that such other person or persons may acquire and hold a beneficial interest in such Shares without violating any applicable laws.

The applicant hereby accepts such lesser number of Shares, if any, than may be specified above in respect of which this application may be accepted.

The applicant, having received and considered a copy of the Prospectus, hereby confirms that this application is based solely on the Prospectus for the Company, and any supplemental Prospectus current at the date of this Subscription Form and the material contracts therein referred together (where applicable) with the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report. The applicant agrees that they have received in good time prior to the application for any proposed subscription of Shares any KIID(s) in issue for the relevant Share(s).

The applicant understands that the Prospectus and KIID(s) may be provided by way of Electronic Delivery and that a paper copy will be provided free of charge on request. The applicant understands that Electronic Delivery includes email delivery to the email address set out on page 11 or 12 (as applicable) of this Subscription Form or as otherwise agreed with the Administrator from time to time and/or electronically making available such documentation or information on [www.majedie.com](http://www.majedie.com) or such other web-site address as may be notified to the applicant from time to time. The applicant agrees that the Company has offered the choice of receipt of the Prospectus and relevant KIID(s) by way of Electronic Delivery or in paper format and unless the applicant has indicated herein that they wish to receive the Prospectus and relevant KIID(s) by way of paper copy, the applicant agrees that the Prospectus and relevant KIID(s) may be provided by way of Electronic Delivery and the applicant consents to receipt thereof by way of publication on [www.majedie.com](http://www.majedie.com) or such other web-site address as may be notified to the applicant from time to time. The applicant acknowledges that updated versions of the Prospectus and KIID(s) will be made available on the web-site [www.majedie.com](http://www.majedie.com). The applicant acknowledges that the Company is required to provide the relevant KIID(s) prior to each subsequent subscription for or exchange of Shares in the relevant class and hereby agrees that for each subsequent application for subscriptions and/or exchange of Shares in any class, the KIID will be provided to them via Electronic Delivery unless a paper copy is requested herein/from the Administrator.

The applicant hereby undertakes to observe and be bound by the provisions of the Prospectus and Articles of Association of the Company.

The applicant acknowledges that the Directors have absolute discretion to accept or reject in whole or in part any application for Shares without assigning any reason therefor.

The applicant acknowledges that if an application is rejected, the Administrator at the cost and risk of the applicant will return application monies or the balance thereof, without interest, by telegraphic transfer to the account from which it was paid as soon as practicable.

The applicant requests that the Shares issued pursuant to this application be registered in the name(s) and address set out below.

The Administrator is hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by the applicant in written form or by facsimile. If the instructions are given by them by facsimile, the applicant undertakes to confirm them in writing. The applicant hereby agrees to indemnify the Administrator and agree to keep it indemnified against any loss of any nature whatsoever arising to any of them as a result of it acting upon facsimile instructions. The Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

The applicant warrants that it is fully empowered and has authority to make this investment whether the investment is on their own behalf or on the behalf of one or more other persons or institution.

The applicant confirms that they have the right and authority to request a redemption or repurchase of Shares and confirm that they will comply with the redemption procedures set out in the Prospectus. All redemption instructions may be made in writing or by facsimile. Applications by facsimile must be confirmed in writing.

The applicant agrees that the issue and allotment to them of Shares is subject to the provisions of the Prospectus, that subscription for Shares will be governed and construed in accordance with Irish law and they confirm that by subscribing for Shares, they are not relying on any information or representation other than such as may be contained in the Prospectus.

The applicant hereby agrees to indemnify and keep indemnified the Company, the Investment Manager and Distributor and the Administrator against any loss arising to any of them as a result of any breach of any representation, warranty, covenant, confirmation or acknowledgement by it in this Subscription Form or of their failure to disclose any relevant details or provide them with all information requested by any of them.

In the case of delay or failure to provide satisfactory information, the Administrator may, upon instruction from the Directors, take such action (including declining to accept an application) as it thinks fit.

The applicant agrees to notify the Administrator immediately if they become aware that any of these warranties, confirmations and acknowledgements is no longer accurate and complete in all respects and agrees immediately either to sell or to tender to the Administrator for redemption a sufficient number of Shares to allow the warranties, confirmations and acknowledgements to be made.

The applicant agrees to repeat the various warranties, confirmations and acknowledgements in this Subscription Form to the Administrator at such times as the Administrator may request, and to provide on request such certifications, documents or other evidence as the Administrator may reasonably require to substantiate such representations.

The applicant acknowledges and agrees that no amendment or variation to the terms of this form will be valid or binding unless explicitly brought to the attention of the Company and approved in writing by the Directors of the Company.

(In respect of joint applicants only) We direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor or manager of such survivor or survivors.

The applicant acknowledges that where it is applying for Shares on its own behalf it is hereby obliged to notify the Administrator in writing if the applicant is or becomes Resident or Ordinarily Resident in Ireland (the terms 'Resident' and 'Ordinarily Resident' are defined in the Prospectus).

The applicant acknowledges that where it is applying for Shares on behalf, or for the benefit, of another person it is hereby obliged to notify the Administrator in writing if the applicant is, or becomes aware, that any person who is beneficially entitled to any of those Shares may be Resident or Ordinarily Resident in Ireland or may have become Resident in Ireland (the terms "Resident" and "Ordinarily Resident" are defined in the Prospectus).

The applicant acknowledges that the Company or the Administrator on its behalf intends to take such steps as may be required to satisfy any obligations imposed by (i) the Foreign Account Tax Compliance Act ("FATCA") regulations, (ii) any applicable law relating to the OECD Common Reporting Standard ("CRS") or (iii) any provisions imposed on the Company under Irish law arising from the inter-governmental agreement between the Government of the United States of America and the Government of Ireland ("IGA"), the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information, sections 891E, 891F or 891G of the Taxes Consolidation Act 1997 ("TCA") and any applicable regulations implementing same so as to ensure compliance or deemed compliance (as the case may be) with the FATCA regulations, the IGA, CRS and any other applicable laws relating to the automatic exchange of information.

The applicant agrees to provide to the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor the necessary FATCA/CRS self-certification declarations, confirmations and/or classifications at such times as each of them may request and furthermore provide any supporting certificates or documents as each of them may reasonably require in connection with this investment by reason of the FATCA regulations, the IGA, CRS, any law arising out of sections 891E, 891F or 891G of the TCA as described above, and any other applicable laws relating to the automatic exchange of information or otherwise. Should any information furnished to any of them become inaccurate or incomplete in any way, the applicant hereby agrees to notify the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor immediately of any such change and further agree to immediately take such action as the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor may direct, including where appropriate, redemption of their Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor (as applicable). If relevant, the applicant agrees to notify the Company and the Administrator of any change to their tax residency status. The applicant hereby also agrees to indemnify and keep indemnified the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor against any loss, liability, cost or expense (including without limitation

legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet their obligations pursuant to this section or failure to provide such information which has been requested by the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor and has not been provided by the applicant, and from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by the applicant to the Company, the Investment Manager, the Sub-Investment Manager, the Administrator and/or the Distributor. The applicant further acknowledges that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in the compulsory redemption of the applicant's entire holding in the Company, and that the Company and the Custodian are authorized to hold back from redemption proceeds or other distributions to them such amount as is sufficient after the deduction of any redemption charges to discharge any such liability and the applicant shall indemnify and keep indemnified the Company and the Custodian against any loss suffered by them or other Shareholders in the Company in connection with any obligation or liability to so deduct, withhold or account.

The applicant acknowledges that where it is applying for Shares on behalf, or for the benefit, of another person it is hereby obliged to notify the Administrator in writing if the applicant is, or becomes aware, that any person who is beneficially entitled to any of those Shares may be Resident or Ordinarily Resident in Ireland or may have become Resident in Ireland (the terms "Resident" and "Ordinarily Resident" are defined in the Prospectus).

If the undersigned is acting as agent, representative or nominee (a "Nominee"), the Nominee acknowledges and agrees that the representations, warranties, acknowledgements, covenants and confirmations herein are also made on behalf of the beneficial owner(s). The Nominee represents and warrants that the Nominee has all requisite power, authority, licence and permission to enter into and perform the obligations under this Subscription Form and the Nominee represents and warrants, after reasonable inquiry, that the representations, warranties, acknowledgements, covenants and confirmations provided in this Subscription Form and otherwise to the Company and/or Administrator through the Nominee are accurate and complete. The Nominee agrees to indemnify the Fund, the Company, the Administrator, the Custodian, the Investment Manager and the Distributor for any and all damages, costs, fees, losses and expenses (including counsel fees and disbursements) in connection with or resulting from the Nominee's misrepresentation or misstatement contained herein or breach thereof, or the lack of proper authorisation from the beneficial owner(s) to enter into this Subscription Form or perform the obligations hereof.

The Applicant acknowledges that Ireland have signed the multilateral competent authority agreement to implement the "Standard for Automatic Exchange of Financial Account Information" ("Common Reporting Standard"), effective since 1 January 2016.

As a financial institution in a participating jurisdiction, the Company may be required to conduct due diligence in relation to both existing and new Applicants resident in participating jurisdictions and may be required to report certain information regarding such Applicants to its tax authority for automatic, annual exchange of information with other participating jurisdictions. As a result, the information regarding the Applicant's subscription will be collected and transmitted to the Irish Revenue Commissioners who may exchange such information with other tax authorities in accordance with the Common Reporting Standard.

Further information regarding the Common Reporting Standard can be found at <http://www.revenue.ie/en/business/aeoi/index.html>

(COMPLETE IN BLOCK LETTERS PLEASE)

**REGISTRATION DETAILS – PRIVATE APPLICANT**

**Applicant Name:** \_

Tax ID No. and Date of Birth: \_

Date, Town / City & Country of Birth: \_

Passport Country & Number: \_

Email address: \_

**Applicant Name:** \_

Tax ID No. and Date of Birth: \_

Date, Town / City & Country of Birth: \_

Passport Country & Number: \_

Email address: \_

**Applicant Name:** \_

Tax ID No. and Date of Birth: \_

Date, Town / City & Country of Birth: \_

Passport Country & Number: \_

Email address: \_

**Applicant Name:** \_

Tax ID No. and Date of Birth: \_

Date, Town / City & Country of Birth: \_

Passport Country & Number: \_

Email address: \_



**REGISTRATION DETAILS – INSTITUTIONAL AND CORPORATE APPLICANTS**

Applicants Name: \_

The required Client Identification documents, outlined under “Client Identification requirements” must be submitted in full by mail along with the subscription application form. Failure to do so may result in the cancellation of this subscription request.

Country & Date of Incorporation: \_

Applicant’s Principle Business: \_

**CONTACT DETAILS (all Applicant types)**

Registered Address: \_

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Mailing Address (if different): \_

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Primary Contact Name: \_

Phone Number: \_

Fax Number: \_

Email address: \_

Secondary Contact Name: (If applicable)\_

Phone Number: \_

Fax Number: \_

Email address: \_

Please note that by providing contact Email address and / or Fax numbers, you are electing to receive dealing confirmations and account statements via email and / or fax to these contact details and copies will also be issued by post (regular mail). It will also be deemed that you have accepted the terms and conditions of use of this email service, copies of which are available upon request.

## **IMPORTANT - CLIENT IDENTIFICATION REQUIREMENTS:**

It is important to note that the appropriate Client Identification documents in respect of anti-money laundering must be received as part of your completed application form. Failure to fully satisfy the Company's and the Administrator's Client Identification requirements can result in your subscription being delayed to a later dealing date and can even result in your application being rejected. Applicants for Shares are requested to review the section entitled **Anti-Money Laundering**, below to identify the Client Identification documents which you must submit to the Administrator as part of your application. The Company and the Administrator reserve the right to change the following requirements from time to time in order to keep up to date with changing regulations and best practices.

### **Anti Money Laundering Documentation Requirements:**

The following materials must be provided to the Administrator:

**Important:** For the purposes of certifying the documentation requested below, certification by any of the following persons is acceptable: A Police Officer, Chartered & Certified Public Accountants, Notaries Public/ Practising Attorneys/ Solicitors/ Lawyers/ Commissioners for Oaths, Embassy/ Consular Staff, Officer of a Bank of a Financial Institution in an approved jurisdiction or an authorised employee of a company affiliated with the Registrar and Transfer Agent.

#### **1. For Designated Persons<sup>1</sup> acting on their own account in a Specified Country<sup>2</sup>**

- Please provide the name of your Regulator and Licence or Registration Number:

Name of Regulatory Authority or website URL: \_

Registration/License No: \_

- A certified Copy or original Authorised Signatory List to include the appropriate mandate to operate the account.

#### **2. For Designated Persons<sup>1</sup> in a Specified Country<sup>2</sup> acting on behalf of Third Parties, or that Introduce Applicants (require the below in addition to items requested at 1. above)**

- Completion of Third Party Letter (available from the administrator). By completing this letter in lieu of any other documents listed in the AML Supplement, the applicant acknowledges that BNY Mellon is placing reliance on the Designated Person, confirms that Customer Due Diligence (CDD) documentation will be made available and also confirms that the entity has adequate anti-money laundering policies and procedures in place which are consistent with all applicable anti-money laundering laws and regulations with the regulated jurisdiction in which they operate.

#### **Or if a Nominee Applicant:**

- If the Nominee is owned by a Designated Person, completion of the letter (available from the administrator) in lieu of any other documents listed in the AML Supplement, this letter acknowledges that BNY Mellon is placing reliance on the Designated Person, confirms that Customer Due Diligence (CDD) documentation will be made available and also confirms that the entity has adequate anti-money laundering policies and procedures in place which are consistent with all applicable anti-money laundering laws and regulations with the regulated jurisdiction in which they operate

#### **3. For Individuals in Specified Country<sup>2</sup> (inc. IRA participants, Keogh Plans)**

<sup>1</sup> A "Designated Person" is a regulated financial institution (i.e., bank or broker-dealer), a credit institution, a financial institution, an auditor, external accountant or tax adviser, a relevant independent legal professional, a trust or company service provider that is located in a Specified Country.

<sup>2</sup> Specified Countries: EU Member States and Argentina, Australia, Brazil, Canada, Hong Kong, Iceland, Japan, Liechtenstein, Mexico, New Zealand, Norway, Singapore, Switzerland, South Africa, USA, The Channel Islands and the Isle of Man, The Dutch overseas territories of Netherlands Antilles and Aruba, The French Overseas Territories of Mayotte, New Caledonia, French Polynesia, Saint Pierre and Miquelon and Wallis and Futuna

**(Documentation is required for each Joint Applicant as applicable)**

- A certified copy of: a valid government issued form of picture identification (e.g., passport, etc), and 1 form of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement *etc*); **or**

**4. For all other Individuals**

- A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 different forms of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement *etc*);

**5. For Listed Companies<sup>3</sup> (or wholly owned subsidiary of same) in a Specified Country<sup>2</sup>**

- Name of Exchange or Exchange's Website URL: \_
- A certified copy or original Authorised Signatory List to include the appropriate mandate to operate the account.

**6. For Private Companies in a Specified Country<sup>2</sup>**

- A certified copy of a certificate of due formation and organisation and continued authorisation to conduct business in the jurisdiction of its organisation (e.g. Certificate of incorporation/registration)
- A certified copy of the Memorandum and Articles of Association or equivalent constitutional document
- A certified copy or original of the appropriate authorised mandate for the directors to open/operate the account.
- A certified copy or original Authorised Signatory List
- Original or certified copy of Register of Members/shareholders (beneficial owners) listing the name of each person who directly, or indirectly, is the beneficial owner of more than 25% of any voting or non-voting class of equity interests of the prospective applicant or exercises control over the management of the company.
- Original or Certified copy of Register of Directors
- For 2 Directors: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 1 form of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement *etc*); or identification requirements per legal form if not individuals

**7. For all other Private Companies (require the below in addition to all items requested at 6. above)**

- For all beneficial owners as described above: A certified copy of a government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current address dated within the last 6 months (e.g., current utility bill, bank statement *etc*); or identification requirements per legal form if not individuals

**8. For Public Bodies<sup>4</sup> in a Specified Country<sup>2</sup>**

- Please provide URL to relevant website detailing status as a Public Body \_
- A certified Copy or original Authorised Signatory List to include the appropriate mandate to operate the account

**9. For Public Bodies/Local Authorities in a Specified Country<sup>2</sup> where 8. above does not apply**

<sup>3</sup> Listed company is one whose securities are admitted to trading on a regulated market

<sup>4</sup> (a) the applicant has been entrusted with public functions pursuant to the Treaty on the European Union, the Treaties on the European Communities or Community secondary legislation or (b) the applicant's identity is publicly available, transparent and certain in the reasonable opinion of BNYM (c) either the applicant is accountable to a Community institution or to the public authority of an EEA state. (d) a body, organisation or group organised under the Freedom of Information Act 1997

- A certified copy of the relevant Constitution/Formation Document
- A certified copy or original Authorised Signatory List to include the appropriate mandate to operate the account
- Names of each Public Body Official

**10. For all other Public Bodies/Local Authorities (require the below in addition to all items requested at 9. above)**

- For 2 Officials: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement etc);

It should be noted that Public Bodies/ Local Authorities in certain jurisdictions may fall into the category of entities which are owned or controlled by PEPs and therefore may be subject to additional documentation requirements as well as Senior Management approval prior to account opening.

**11. For Pension Schemes Providing Retirement Benefits to Employees in a Specified Country<sup>2</sup> (where deductions from wages and the rules of the scheme do not permit a member's interest under the scheme to be assigned )**

- A certified copy of the relevant Revenue/Tax Authority approval certificate
- An original or certified copy of the appropriate authorised mandate for the directors to open/operate the account. e.g Certified copy of evidence that the person representing the applicant is empowered (trust deed, charter or constitutional document)
- Certified copy of evidence that the person representing the applicant is empowered (trust deed, charter or constitutional document)
- A certified Copy or original Authorised Signatory List.

**12. For Pension Schemes in a Specified Country<sup>2</sup> where 11. above does not apply**

- A certified copy of the relevant Revenue/Tax Authority approval certificate
- A certified copy of the relevant Constitution/Formation Document
- Names of the Trustees/Directors/Governors or equivalent
- An original or certified copy of the appropriate authorised mandate for the directors to open/operate the account.
- A certified copy or original Authorised Signatory

**13. For all other Pension Schemes (require the below in addition to all items requested at 12. above)**

- For the Administrator/ Trustee/Controller(s): A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current residential address dated with the last 6 months (e.g., current utility bill, bank statement etc); or identification per legal form

**14. For Private Trusts in a Specified Country<sup>2</sup>**

- A certified copy of the Trust Deed or equivalent constitutional document
- A certified copy or original of the appropriate authorised mandate for the Trustees to open/operate the account.
- A certified copy or original Authorised Signatory List
- List of beneficial owners/controllers if not already provided in Trust Deed

- For 2 Trustees: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 1 form of proof of current residential address dated with the last 6 months (e.g., current utility bill, bank statement etc); or if not an individual, identification documentation per legal form

**15. For all other Private Trusts (require the below in addition to all items requested at 14. above)**

- For the Owner(s)/Controller(s)/Settlor/Beneficiaries: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current residential address dated with the last 6 months (e.g., current utility bill, bank statement etc); or if not an individual, identification documentation per legal form

**16. For Partnerships in a Specified Country<sup>2</sup>**

- A certified copy of the Partnership Agreement or appropriate excerpts
- A certified copy or original of the appropriate authorised mandate for the General Partner or Partners (as applicable) to open/operate the account.
- A certified copy or original Authorised Signatory List
- For 2 Partners: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 1 form of proof of current residential address dated with the last 6 months (e.g., current utility bill, bank statement etc)

**17. For all other Partnerships (require the below in addition to all items requested at 16. above)**

- For any beneficial owner who is an individual or entity who ultimately is entitled to or controls, 25% or more of the capital or profits of the partnership., otherwise exercises control over management of the partnership a certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current residential address dated with the last 6 months (e.g., current utility bill, bank statement etc); or if not an individual, identification documentation per legal form

**18. For Registered Charities/Foundations in a Specified Country<sup>2</sup>**

- A certified copy of the relevant Revenue/Tax Authority approval or proof of registration status from the relevant Charity Commission or equivalent
- A certified copy or original of the appropriate authorised mandate which states who has authorisation to transact on the account. The mandate must be signed by the member/person/authorised representative
- A certified copy or original Authorised Signatory List

**19. For all other Charities/Foundations**

- A certified copy of the relevant Constitutional/Formation Document (or Audited Accounts)
- A certified copy of the appropriate authorised mandate which states who has authorisation to transact on the account. The mandate must be signed by the member/person/authorised representative.
- A certified copy or original Authorised Signatory List
- Names of each Trustee/Director/Governor (or equivalent)
- For 2 Trustees/Directors/Governors/Board Members: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 2 forms of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement etc);

**20. For Clubs and Societies**

- A letter detailing the legal status and purpose of the Club/Society including names of all Officers
- A certified copy or original of the appropriate authorised mandate detailing those empowered to open/operate the account.

- A certified copy or original Authorised Signatory List
- For 2 Officers: A certified copy of a valid government issued form of picture identification (e.g., passport, etc), and 1 form of proof of current residential address dated within the last 6 months (e.g., current utility bill, bank statement etc);

**21. For Collective Investment Schemes**

- Prospectus or Operating Memorandum
- A certified copy or original of the appropriate authorised mandate for the directors to open/operate the account.
- A certified copy or original Authorised Signatory List
- Letter of Undertaking from Scheme Administrator per No. 2 above detailing the AML policies undertaken to identify and verify the scheme applicants

**ADDITIONAL DISCLOSURES**

The Applicant hereby represents that they are not an individual(s) or entity listed on the United States Department of Treasury's Office of Foreign Assets Control ("OFAC") website and they are not directly or indirectly affiliated with, any country, territory, individual or entity named on an OFAC list or prohibited by any OFAC sanctions programmes.

The Applicant hereby represents that the monies to be used by them in subscribing for share / units in the Company are not directly or indirectly derived from activities that may contravene United States federal or state, or international, laws and regulations, including anti-money laundering laws and regulations.

"They are not a senior political figure(s) and they are not

(an) immediate family member(s) of or closely associated with a senior political figure (as those terms are defined in the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 and 2013).

They are not (a) "foreign shell bank" and do not transact with a "foreign shell bank" as the term is defined in the US Patriots Act 2001."

**DATA TRANSMISSION**

The Applicant acknowledges and agrees that each of the Company, the Administrator, the Distributor and/or the Investment Manager may disclose to each other, to any affiliate, to any other service provider to the Company and third parties such as auditors and any authority whether regulatory, taxation or otherwise where necessary or advisable to facilitate the acceptance and management of this subscription including, but not limited to, in connection with anti-money laundering purposes or for compliance with legal, taxation and regulatory requirements and guidance in any jurisdiction or for disclosure to the tax authorities in Ireland or the applicant's country of residence or domicile or establishment or in any country as may be required by law, legislation or regulation or tax/regulatory authorities or for legitimate business interests copies of my/our subscription application/documents or other documents and any information concerning me/us in my/our respective possession, whether provided by me/us to the Company, the Administrator, Distributor and/or the Investment Manager or otherwise, including details of my/our holdings in the Company, historical and pending transactions in the Shares and the values thereof, and any such disclosure shall not be a breach of any restriction upon the disclosure of information imposed on any such person by law or otherwise.

The Applicant hereby consents to the transmission by the Administrator of personal data provided by me / us to the Administrator being transferred to countries which are outside of the European Economic Area and to countries which do not have data protection legislation in place which is equivalent to that in place within the European Economic Area.

**ELECTRONIC DELIVERY OF ACCOUNT INFORMATION**

- (A) The applicant hereby agrees and provides the applicant's consent to have the Company, the Investment

Manager, the Investment Advisor and/or the Administrator electronically deliver Account Communications. "Account Communications" means all current and future account statements; Company Documents (including all supplements and amendments thereto); notices (including privacy notices); letters to applicants; annual audited financial statements; regulatory communications and other information, documents, data and records regarding the Applicant's investment in the Company. Electronic communication by the Company, the Investment Manager, the Investment Advisor and/or the Administrator includes e-mail delivery as well as electronically making available to the Applicant Account Communications on the Company's or the Investment Manager's Internet site, if applicable. It is the Applicant's affirmative obligation to notify the Company in writing if the Applicant's e-mail address changes.

- (B) The Applicant may revoke or restrict its consent to electronic delivery of Account Communications at any time by notifying the Company, in writing, of the Applicant's intention to do so.
- (C) The Company, the Investment Manager, the Investment Advisor and the Administrator will not be liable for any interception of Account Communications. Applicants should note that no additional charge for electronic delivery will be assessed, but the Applicant may incur charges from its Internet service provider or other Internet access provider. In addition, there are risks, such as systems outages, that are associated with electronic delivery.
- (D) The Applicant hereby agrees that the Administrator and its directors, officers, employees and agents shall be fully indemnified and shall not be liable to the Applicant or to any shareholders in the Company for any direct loss, damage, expense (including without limitation, legal counsel and professional fees and other costs and expenses incurred in connection with the defence of any claim, action or proceedings) occasioned by act or omission of the Administrator and its directors, officers and employees in connection with the electronic delivery of Account Communications other than as a result of the bad faith, negligence or willful misconduct of the Administrator in the performance of its duties as Administrator of the Company.
- (E) The Applicant hereby agrees that the Administrator and its directors, officers, employees and agents shall be fully indemnified and shall not be liable to the Applicant or to any shareholders in the Company for any direct loss, damage, expense (including without limitation, legal counsel and professional fees and other costs and expenses incurred in connection with the defence of any claim, action or proceedings) occasioned by act or omission of the Administrator and its directors, officers and employees in connection with any transactions sent and received by way of facsimile or other electronic medium.

#### **Contact details for assistance**

Enquiries concerning the Fund and the Shares (including information concerning subscriptions and redemptions procedures and the current Net Asset Value per Share) should be directed to the Administrator at:

Attention: Hedge Team, Shareholder Services Dept.

Telephone + 353 1 790 3555

Fax + 353 1 790 4097

Email: [hedgeteam@bnymellon.com](mailto:hedgeteam@bnymellon.com)

**SIGNATURE PAGE**

*Please note that application forms without the declarations on page 1 completed will be rejected.*

**INDIVIDUAL(S):**

**Registered Name:**

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Signature:

Date:

---

(Print Name of Applicant):

Signature:

Date:

---

(Print Name of Applicant):

Signature:

Date:

---

(Print Name of Applicant)

Signature:

Date:

---

(Print Name of Applicant):

**ENTITIES:**

**Registered Name:**

---

Signature:

Date:

---

(Print Name & Title of Signatory):

Signature:

Date:

---

(Print Name & Title of Signatory):



Signature:

Date:

\_\_\_\_\_

(Print Name & Title of Signatory):

Signature:

Date:

\_\_\_\_\_

(Print Name & Title of Signatory):

**NOTES:**

1. This Subscription Form must be received for the attention of: Hedge Team, Shareholder Services Department.
2. To be valid Subscription Forms must be signed by each applicant.
3. In the case of a firm (not a limited company) applications should be in the name(s) of and signed by the proprietor(s).
4. A corporation should complete this Subscription Form under seal or under the hand of a duly authorised official who should state his capacity and furnish a certified copy of the authority pursuant to which such official is authorised.
5. If this Subscription Form is signed under the power of attorney, such power of attorney or a duly certified copy thereof and confirmation from a local lawyer as to the validity of such power of attorney under its proper or governing law must accompany this Subscription Form.
6. If this Subscription Form is not fully completed to the satisfaction of the Administrator, the application may not be accepted.

## **APPENDIX**

### **DATA PROTECTION NOTICE**

#### ***Introduction***

By completing the Application Form, you are providing personal data to the Company. This Data Protection Notice is intended to ensure that you are aware of what personal data the Company, as data controller holds in relation to you and how that data is used. We will use your personal data only for the purposes and in the manner set forth below which describes the steps we take to ensure our processing of your personal data is in compliance with the Data Protection Acts 1988 and 2003 (as may be amended from time to time) and the General Data Protection Regulation (EU) 2016/679 (GDPR) and any implementing legislation (**Data Protection Legislation**).

**Please note: you have the right to object to the processing of your personal data where that processing is carried out for our legitimate interests.**

#### ***Scope***

This Data Protection Notice applies to you and to third parties whose information you provide to us in connection with our relationship with you. Please ensure that you provide a copy of this Data Protection Notice to any third parties whose personal data you provide to us. This Data Protection Notice applies to all personal data processed by us regardless of the media on which it is stored. We may update this Data Protection Notice at any time and will notify you in writing of any changes.

#### ***Nature, Purpose & Legal Basis for Processing***

Personal data is any data relating to a living person who can be identified directly from that data, or indirectly in conjunction with other information. The Company will hold some or all of the following types of personal data: name; address; date of birth; bank details; tax identification numbers; email address; passport country and number. This personal data will be used to manage and administer the investor's holding in the Company and any related accounts on an on-going basis.

Personal data will only be processed to the extent necessary for the purposes set out above for the Company's legitimate business interests and to operate the Fund, including managing and administering a Shareholder's investment in the Fund on an on-going basis which enables the Company to satisfy its contractual duties and obligations to the Shareholder. The Company will also process personal data as necessary to comply with legal obligations. We will inform you in advance if we intend to further process your personal data for a purpose other than as set out above. We may also seek your specific consent to the processing of personal data for other specific purposes. You will have the right to withdraw such consent at any time.

#### ***Where you do not provide your Personal Data***

If you do not provide us with your personal data, we may not be able to process your investor application. We will tell you when we ask for information which is a contractual requirement or needed to comply with our legal obligations.

### ***Recipients of Investor Personal Data***

Your personal data will be disclosed to, and processed by, the Administrator (who will be a Data Processor of your personal data, as defined in Data Protection Legislation) for the purposes of carrying out the services of administrator and registrar of the Company and to comply with legal obligations including under company law and anti-money laundering legislation or foreign regulatory requirements. The Administrator may in turn disclose your personal data to agents or other third parties where necessary to carry out these purposes.

We may also disclose your personal data to:

- the money laundering reporting officer, the Investment Manager, and our or their duly authorised agents and related, associated or affiliated companies;
- the Irish Revenue Commissioners;
- the Central Bank of Ireland;
- agents of the Administrator who may process the data for anti-money laundering purposes or for compliance with foreign regulatory or tax requirements; and/or
- other third parties including financial advisers, regulatory, fiscal and law enforcement authorities, auditors, technology providers and professional advisers.

We take all reasonable steps, as required by Data Protection Legislation, to ensure the safety, privacy and integrity of your personal data and where appropriate, enter into contracts with such third parties to protect the privacy and integrity of such data and any information supplied.

### ***Transfers of Personal Data outside the EEA***

We may transfer your personal data to countries outside of Ireland (including the U.S. and India) which may not have the same data protection laws as Ireland. We will take all steps reasonably necessary to ensure that your personal data is treated securely, and that appropriate safeguards are in place to protect the privacy and integrity of such personal data, in accordance with Data Protection Legislation. Please contact us if you wish to obtain information concerning such safeguards (see 'Contact Us' below).

### ***Security, Storage and Retention of Personal Data***

The Company takes all reasonable steps as required by Data Protection Legislation to ensure the safety, privacy and integrity of your personal data. The Company will retain your personal data only for so long as is necessary to carry out the purposes set out above and to comply with any legal obligations.

### ***Your Rights***

You have a right to obtain a copy of, and the right to rectification of any inaccuracies in the personal data we hold about you by making a request to us in writing. You also have the right to request erasure, restriction, portability or object to the processing of your personal data or not to be subject to a decision based on automated processing, including profiling. You should inform us of any changes to your personal data. Any requests made under this

section can be made using the details set out at 'Contact Us' below. We will respond to your request in writing, or orally if requested, as soon as practicable and in any event not more than one month after receipt of your request.

You have the right to lodge a complaint with the Office of the Data Protection Commissioner if you are unhappy with how your personal data is being handled.

**Contact us**

If you have any queries regarding this data protection notice, please contact us at Legal Team – Majedie Asset Management Limited, 10 Old Bailey EC4M 7NG, London or via email at [Legal@Majedie.com](mailto:Legal@Majedie.com).